Procedures to apply for Doctoral Degree

Graduate School of Global Information and Telecommunication Studies, Waseda University

1. Doctorate Requirements
The requirements to complete the GITS doctorate program are stipulated as follows:

In order to complete the doctorate program, a doctoral student must be enrolled in the program for more than three years, receive research supervision specified by GITS, and pass the evaluation and the final examination of his/her dissertation. The maximum duration of enrollment allowed for a doctoral student shall be six years. In the case of a student who withdrew from the program without submitting the doctoral dissertation after attending the program for more than three years and completing the supervision requirements, if his/her application for a doctorate is accepted within three years from the date of withdrawal, it will be dealt with in the same way as applications submitted by candidates enrolled in the program.

2. Criteria for Doctoral Degree Examination
The criteria for doctoral degree examination at GITS are as follows:

(a) A candidate must have academic papers or some other kind of academic outcomes which sufficiently prove his/her academic achievements. As for academic paper, it needs to have been published in refereed academic journal or at least accepted for publication, however the value of each paper is conclusively assessed by the GITS Faculty Committee. The requirement on the number of papers shall be determined by the faculty members in the related area. Evaluation of academic outcomes other than papers shall be discussed upon request from the related area.
(b) If there are co-authors for the academic paper/outcome to be claimed as achievement for doctoral degree application, the candidate’s responsibility taken in the paper/outcome must be explicable. In principle, degree is not granted to multiple candidates who coauthored one paper/achievement. When conferring a degree upon multiple candidates who worked together on plural papers/achievements, the assignation of each candidate’s responsibility must be clearly indicated and understandable to examiners.
(c) In the case of a candidate who is related to media content area and whose main achievements are media works, the criteria are as follows. A work which won a prize, was accepted in competitions or was invited to art festivals is deemed equivalent to a refereed paper. A work which was publicly exhibited is evaluated by re-examining itself or in reference to its review publicized in newspaper or journal. A cultural publication (packaged multimedia work) for which the candidate took responsibility in whole or part of creation is considered as an achievement. The requirement on the number of works shall be examined individually.

3. Doctor Course Progress Meeting
Doctoral students are required to present their research progress at Doctor Course Progress Meeting once a year, in either June (for those who entered in September) or December (for those who entered in April).

4. On Demand Course: “Research Ethics”
From AY2015, “Research Ethics” (full on demand course) has become a prerequisite to apply for doctoral degree. Any candidate is required to have passed the course prior to starting the doctorate application procedures. For those who have already withdrawn or who have never been enrolled in the GITS doctorate program, it is also mandatory to have completed all the lectures of “Research Ethics” (full on demand course) offered by the Research Promotion Division before starting the application procedures. Details about how to register for the “Research Ethics” are available at the GITS Office.
5. Application for Doctoral Examination

Doctorate application procedures are as follows:

(1) Application for doctoral degree
To begin the procedure, a candidate applying for doctoral degree submits the following documents so that the supervisor can make a preliminary judgment on whether the candidate is qualified to start the application process.

*The following documents should be prepared in accordance with the "Dissertation Preparation Guide".*

① Draft of abstract of the doctoral dissertation
② List of academic achievements
③ Curriculum Vitae (CV)
④ Draft of a doctoral dissertation
   * Not necessarily has to be a final dissertation form.
⑤ Copies of published papers (achievements)

In a case where a candidate has no fixed supervisor, the candidate is required to submit the documents to GITS Office. (In that case, Dean of GITS will appoint a supervisor for the candidate and the appointed supervisor will make a preliminary judgment.)

After the supervisor has made a preliminary judgment, the candidate submits one set of the above documents to the GITS Office no later than one week prior to the date of the GITS Faculty Committee meeting in which the supervisor will make an application for doctoral degree to the GITS Faculty Committee. The GITS Faculty Committee decides preliminarily whether requirements for the degree have been satisfied or not. When the Committee agrees that the candidate has fulfilled the requirements and the application is acceptable, they appoint possible examiners.

(2) Preliminary hearing
Once the first application has been accepted, under the supervisor’s guidance, the candidate prepares the draft of dissertation to be examined preliminarily in a dissertation hearing (oral examination) by the possible examiners.

(3) Submission of documents for deliberation by the Faculty Committee
After the preliminary hearing, upon the supervisor’s approval, the candidate submits one set of the following documents to the GITS Office no later than one week prior to the date of the GITS Faculty Committee meeting in which a decision on whether or not to accept the dissertation for examination is to be made.

*The following documents should be prepared in accordance with the "Dissertation Preparation Guide".*

① Abstract of the doctoral dissertation
② List of academic achievements
③ Curriculum Vitae (CV)
④ Doctoral dissertation
⑤ Application for Doctoral Degree (in a prescribed form)

6. Procedures after the GITS Faculty Committee Has Accepted the Dissertation for Examination

(1) Payment of Examination Fee
A candidate whose application for doctoral degree has been accepted by the GITS Faculty Committee pays an examination fee. (A candidate falling into the following category ① will be exempted from examination fee.)

① A candidate who is/was enrolled in GITS doctorate program
   A candidate who submitted a dissertation after having received research supervision or whose submission of the dissertation is made within three years* from the date of withdrawal after
completing the supervision requirements ----> exempted from the examination fee

*In accordance with the Article 17 of the Graduate School's regulations, a candidate whose submission of the dissertation was made after three years from the date of withdrawal from GITS will be treated as a candidate who is not enrolled in the doctorate program.

② A candidate who has never been enrolled in GITS doctorate program or whose submission of the dissertation was made after three years from the date of his/her withdrawal from GITS ----> needs to pay ¥200,000 (The examination fee will not be refunded for any reason.)

(2) Public Hearing/Measurement of Educational Attainment
The candidate presents the dissertation at a public hearing following the supervisor's instructions. In the case of a candidate who has never been enrolled in GITS doctorate program, a written examination is scheduled. The result of the public hearing and the written examination is to be discussed at the examination meeting.

7. Procedures after the Oral Examination (the Public Hearing) of the Dissertation Conducted by the Appointed Examiners
After the examination, under the supervisor’s guidance, the candidate submits the followings to the GITS Office no later than one week prior to the date of the GITS Faculty Committee meeting in which the decision of degree conferment is to be made.

*The following documents should be prepared in accordance with the "Dissertation Preparation Guide".
① One copy of the doctoral dissertation
   * No hardbound copies are required because publication of the doctoral dissertation "on the Internet" has become mandatory in principle, replacing publication "in print".
② One paper copy of abstract of the doctoral dissertation in final format
③ List of academic achievements
④ Electronic versions of all documents above and visual content if applicable for online publication
⑤ Alumni Career Path Survey (in a prescribed form)
⑥ Doctorial Dissertation Withholding Request Form for Online Publication (in a prescribed form)
   * Required only when the doctoral dissertation is unable to be published on the Internet in unavoidable circumstances

8. Doctorate Conferral
(1) Doctorate Conferral Date
① Candidates who successfully passed the doctorate examination within the normal duration of study in GITS doctorate program and expect to complete the program in March: March 15
② Candidates who successfully passed the doctorate examination within the normal duration of study in GITS doctorate program and expect to complete the program in September: September 15
③ Candidates other than the above ① and ②: Date on which the GITS Faculty Committee approved the conferral of a degree.

(2) Doctorate Conferral and Commencement
Invitation to the Doctorate Conferral Ceremony will be sent to successful candidates from the Academic Affairs Division of Waseda University.

A doctoral degree recipient must publish his/her dissertation in full-text within one year after the conferral of the degree unless the dissertation has already been published. When publishing the dissertation or its abstract, the author must state clearly that it is a doctoral dissertation or abstract examined and approved by Waseda University.

10. Online Publication of Doctoral Dissertation
In accordance with the Article IX of the Regulations for Academic Degrees (Ordinance No. 9 of the
Ministry of Education, issued on April 1, 1953), doctoral dissertations shall be published on the Internet in principle. When Waseda University has conferred a doctoral degree on a person, the university shall register his/her dissertation, its abstract and a summary on the result and conclusions of his/her dissertation examination with the Waseda University Repository, and publish them on the Internet.

11. Others
(1) Special case regarding measurement of educational attainment
   In the case where a person who was enrolled in the doctorate program in or before the academic year 1975 (Showa 50) applies for the doctorate, he/she is exempted from the measurement of educational attainment noted in the Article 16 of Waseda University degree regulations. Meanwhile the measurement of educational attainment is mandatory for anyone who enrolled in the GITS doctorate program in or after April 1976 (Showa 51) and applies for doctorate after more than three years passed since his/her withdrawal from the doctorate program.

(2) Tuition and fees in the case of a candidate who is enrolled in GITS doctorate program
   Tuition and fees must be paid no later than the date of the GITS Faculty Committee meeting to be held to make a final decision of degree conferral.

(3) Return of Student ID Card
   In the case where a candidate successfully passed the doctorate examination while being enrolled in the doctorate program, his/her student ID card must be returned to the GITS Office on the date of doctorate conferral.
Abstract of Doctoral Dissertation

1. The abstract should be formatted in the manner prescribed below.
2. The abstract should be word-processed (typewritten) and printed in MS word format with Serif typeface of 11 point type size.
3. The abstract should be four pages in length which consists of a front cover (1 page) and a main body of the summary (3 pages).
4. The front cover should be formatted as follows:
   (1) If the title of the dissertation is written in English, the title in Japanese should be accompanied underneath. In the case of title in Japanese, English title should be accompanied underneath.
   (2) The name of the candidate in Roman letters should be typed in full with the given name first and the surname second.
   (3) If you submit this document while in GITS doctorate program or within three years of withdrawal from the program, write the course and project titles. If not, there is no need to put the information.
   (4) Write the month and year (in the Christian era) when your application is (to be) accepted at the GITS Faculty Committee's meeting. (No need to put the date when making the application for preliminary judgment.)
5. The main body of the abstract
   The abstract itself should not exceed 3 pages in length.

List of Academic Achievements

1. The achievements central to the doctoral dissertation must be classified into subheadings, such as (1) Papers or articles published in refereed journals; (2) Presentations (papers and talks) given at international conferences; (3) Presentations (papers and talks) given at domestic academic meetings held by study groups; (4) Presentations (papers and talks) given at domestic conferences; (5) Published books; (6) Works awarded a prize in competitions, invited to art festivals, or commercially exhibited; (7) Published works, and (8) Others. (The way of classification may vary depending on the area of study.)
   In the case of films or multimedia works, achievement should be listed by the work. The role that the candidate took in creating the work must be indicated. When including achievements which are not directly related to the doctoral dissertation in the list, please label them 'Other achievements' and classify in the same way above, following the main achievements related to the doctoral dissertation. In the case of papers or articles coauthored, all the name of the authors should be presented in the order that appears in the paper or article.
2. A list should be arranged in reverse chronological order (i.e. the most recent first) and should not exceed 3 pages. (If there are too many achievements to fit into 3 pages, please just write the number of works under 'Others'.)
3. Clarification of the author's main achievements: The main works related to the doctoral dissertation should be marked with ○ before the title.
4. Papers or articles which have been accepted by a publisher and will soon be released in academic journals can be included in the list as a publication. Please label it 'forthcoming' along with its volume and issue number if the information is available beforehand. If you would like to include works that have not been accepted yet, please
clearly indicate that they are 'in progress' or 'under review', and inform your supervisor of the review result from the publisher and submit a revised abstract no later than the date of the GITS Faculty Committee's meeting to be held to make the final decision on degree conferral to you.

**Curriculum Vitae (CV)  
*See Appendix C*

The CV should include your country of origin, current address, date of birth (age), names of high school, colleges or universities, and research and employment experience.
* Educational background: List all institutions after graduating junior high school, degrees, and entrance and graduation dates in chronological order.
* Research experience: List research projects done after the third year of the doctorate program if any.
* Employment history: Write the names of employers and professional titles if any.

**Format of the Doctoral Dissertation  
*See Appendix D*

1. The dissertation should be printed on A4 (297mm X 210mm) sized paper and put in a ring file. The file should have a front cover.
2. If the title of the dissertation is written in English, the title in Japanese should be accompanied underneath. For the title in Japanese, English title should be accompanied underneath.
3. The front cover of the dissertation should have the following information in the order listed below:
   * the full title of the dissertation both in Japanese and English;
   * the full name of the author;
   * the month and year (in the Christian era) of doctoral degree conferral. (Please refer to the followings for the degree conferral date.)
     ① Candidates expecting to be awarded a doctoral degree in March within the normal period of enrollment: March, **year**
     ② Candidates expecting to be awarded a doctoral degree in September within the normal period of enrollment: September, **year**
     ③ Candidates other than the above ① and ②: Month & Year of the GITS Faculty Committee's meeting which approved the degree conferral.
4. A list of academic achievements should be included in the last part of the dissertation. Please do not include the papers which are still 'in progress' or 'under review' and have not been published yet.

**<For your information>:**
There is no strict rule for the order of the contents, but the contents of the dissertation should generally take the following order (although all may not necessarily apply to all dissertations):
* Title page (containing title, candidate's name, project name, and name of the school and the University)
* Table of contents, lists of all diagrams and illustrations, and list of abbreviations
* Main text
* Appendix(es)
* Bibliography/references
* List of academic achievements
Copies of Published Papers (Academic Achievements)

One set of the copies of your papers are required. Collect the copies of all of your published papers listed as your academic achievements, put them all together in a ring file, and write “Academic Achievements” and your name on the front cover of the file.

Application for Doctoral Degree

1. If you are currently enrolled in GITS or withdrew in the past three years, please use the “Appendix E-1” format. If you withdrew more than three years ago or have never been enrolled in GITS, please use the “Appendix E-2” format.

2. “7. Date of Publication of Dissertation” in the application form
   This information needs to be provided in reference to the Article 21 of Waseda University degree regulations, “Publication of Doctoral Dissertation: A doctoral degree recipient must, within one year of the date on which the degree was conferred, publish his/her doctoral dissertation in full-text; which provision, however, shall not apply if the dissertation was published before the conferment of the degree.” In the case where the dissertation has already been published or is soon to be published, provide the applicable information.

3. Examination Fee
   The examination fee needs to be paid after your application for doctoral degree has been accepted for examination.

Alumni Career Path Survey

All graduates are asked to submit the survey sheet regarding their career plan after completion the degree.

Doctorial Dissertation Withholding Request Form for Online Publication

This request is needed only when your doctoral dissertation is unable to be published on the internet in unavoidable circumstances. In that case, consult with your supervisor first and submit this form. For the further details about the “unavoidable circumstances” and “alternative measures”, refer to the “Notice: Regulations Revised regarding Publication of Doctorial Dissertation” attached to the Appendix F.
Abstract of Doctoral Dissertation

English Title of Dissertation

Japanese Title of Dissertation

Taro WASEDA
早稲田 太郎

Global Information and Telecommunication Studies

Title of Project Research
(i.e., Wireless Communication System II)
*Applicable only to those who are currently enrolled in GITS or withdrew in the past three years

Month, Year
**List of academic achievements**

<table>
<thead>
<tr>
<th>Category</th>
<th>Description</th>
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<tr>
<td>Presentations at International conferences</td>
<td>○ Taro Waseda, “Market Structure of Information Economy in Japan”, the 4th International Conference on Information Economy, University of Tokyo, April 5, 2012</td>
</tr>
<tr>
<td>Presentations at domestic academic meetings held by study groups</td>
<td>○ Taro Waseda, “A study on trends and impact of ICT Usage in the Japanese Economy”, Japan Simulation Society, Tokyo, June 10, 2010</td>
</tr>
<tr>
<td>Published Books</td>
<td>○ Taro Waseda, “Development of information and communication technologies”, Waseda Publishing, April 2011</td>
</tr>
<tr>
<td>Works</td>
<td>○ Taro Waseda and filmmaking team, Film “The Waseda”, Director (Rotterdam International Film Festival, Netherlands, June 10, 2012; Invited to Japan Media Arts Festival, Japan, July 25, 2012; Screened at Eurospace Shibuya for paid-viewing, September 10, 2012</td>
</tr>
<tr>
<td>Published works</td>
<td>○ Taro Waseda, &quot;Scenario Analysis&quot;, Film Art printing Co. Ltd., June 2012</td>
</tr>
<tr>
<td>Others</td>
<td></td>
</tr>
</tbody>
</table>
Appendix C

Curriculum Vitae

Name: Taro WASEDA
Name in Katakana ワセダ タロウ
Date of Birth (age): April 2 1965 (36 years old)
Sex Male
Country of Origin (Nationality): Japan
Current Address: 1-6-1 Nishi-Waseda, Shinjuku-ku, Tokyo
TEL. (03) 3203-4141
Email: taro@honjo.waseda.jp

Educational Background

Waseda High School, April 1981- March 1984
Bachelor of Engineering, Waseda University, April 1984-March 1988
School of Science and Engineering
Master of Science, Waseda University, April 1988-March 1990
Graduate School of Science and Engineering (Major in Radio Engineering)
Doctorate program in Waseda University, April 1999-March 2001
Withdrew from the doctorate program in Graduate School of Science and Engineering (or currently enrolled in the doctorate program in Graduate School of Science and Engineering)

Research Experience
(In principal, research done before and during the third year of the doctorate program should be excluded)

Visiting Researcher, Global Information and Telecommunication Institute, Waseda University, April 2001-March 2002

Working Experience

April 1990-March 1999
Engineer, Nippon Telegraph and Telephone Corporation (NTT)
(Please write a title of the job, such as a chief researcher, the head of an office, and the general manager, if any)

Awards and Punishment

Azusa Ono Memorial Award for Art, Waseda University, 1990
Appendix D
Front cover of doctoral dissertation

English Title of Dissertation

Japanese Title of Dissertation

Month and Year
of doctoral degree conferral

Taro WASEDA
(Author’s Name)
English Title of Dissertation

Japanese Title of Dissertation

Month and Year
of doctoral degree conferral

Graduate School of Global Information and Telecommunication Studies
Waseda University

Title of Project Research
(i.e., Wireless Communication System II)
*Applicable only to those who are currently enrolled in GITS or withdrew in the past three years

Taro WASEDA
(Author’s Name)
Appendix E-1

Application for Doctoral Degree (Gakui Shinsei-sho)
Date Submitted: DDMYYY

To: The Dean of the Graduate School of Global Information and Telecommunication Studies, Waseda University

4cm Width x 5cm Height Passport Photo

Permanent Domicile in Japan or Nationality: _______________________-to / -dou / -fu / -ken

Current Address: ________________________________________________

Name in Full: ________________________________________________

Date of Birth: DD MMYY

In accordance with Article 14 of the Waseda University Graduate School Regulations, I hereby apply for the degree of Doctor of Science in Global Information and Telecommunication Studies and submit the items indicated below.

I certify that the dissertation submitted is my own work and written in accordance with the University policy regarding academic integrity.

Notes

1. I apply for the degree of Doctor of Science in Global Information and Telecommunication Studies for examination at the Graduate School of Global Information and Telecommunication Studies, Waseda University.

2. Dissertation Abstract 1 copy (see attached / enclosed sheet)
3. Dissertation 1 copy (see attached / enclosed sheet)

Dissertation Title (Including Subtitle):

__________________________________________________________________

Dissertation Title in Japanese:

__________________________________________________________________

(If the dissertation title is in a language other than Japanese, a Japanese language translation of the title must also be submitted.)

4. Approval of Supervisor
I hereby give approval to the candidate above to apply for the degree.

Name of supervisor __________________________ Signature __________________________

[Administrative Office Use Only]

受 理 研 究 科 国際情報通信研究科
受 理 年 月 日 年 月 日
面接試験実施日 年 月 日
論文審査終了年月日 年 月 日
To: The President, Waseda University

4cm Width × 5cm Height

Passport Photo

Permanent Domicile in Japan or Nationality: ____________________________ -to / -dou / -fu / -ken

Current Address: _______________________________________________________

Full Name: ___________________________________________________________

Date of Birth: DD / MM / YY

In accordance with Article 17 of the Waseda University Graduate School Regulations, I hereby apply for the degree of Doctor of Science in Global Information and Telecommunication Studies as detailed on this form and submit the following items indicated below.

Notes

1. The degree being applied for and the graduate school in which the examination will be taken.
   Doctor of Science in Global Information and Telecommunication Studies, Graduate School of Global Information and Telecommunication Studies

2. Languages in which the examination can be conducted on the basis of confirmation of the student’s academic records and achievements.

3. Curriculum Vitae (1 copy) (see attached / enclosed sheet)
4. Research Achievements/Publications (1 copy) (see attached / enclosed sheet)
5. Abstract of Dissertation (2 copies) (see attached / enclosed sheet)
6. Doctoral Dissertation (2 copies) (see attached / enclosed sheet)

Dissertation Title (Including Subtitle): _______________________________________

Translated Dissertation Title: ______________________________________________

(If the dissertation title is in a language other than Japanese, a Japanese language translation of the title must also be submitted.)

7. Date of Publication of Dissertation:
   (Indicate whether your dissertation has already been published or if there are any plans for it to be published in the near future and circle the relevant items below.)
   Publication (Planned) Date: Day______Month______Year______
   Details of Publication: Whole Dissertation / Abstract of Dissertation
   Type of Publication: Academic journal / Intra-university Journal / Book
   Title of the Publication: ____________________________
   Publisher: _______________________________________

8. Examination Fee: 200,000 Japanese Yen

[Administrative Office Use Only]

経理課領収印
To the Dean of GITS, Waseda University

Name of Author (Signature) __________________________________________

As a request for withholding my dissertation (full text) from public release on the internet, I will submit this form along with documents proving the reasons. I would like to publish an abstract of my dissertation in lieu of the full text.

In addition, when the reason for the withholding is resolved after this request has been accepted, I will immediately inform the dean that my withholding request may be cancelled.

<table>
<thead>
<tr>
<th>Name(Print)</th>
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<tr>
<td>Title of Dissertation (Subtitle)</td>
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<tr>
<td>Reason for Withholding Online Publication (Document Proving the Reason Attached)</td>
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<tr>
<td>Date of Degree Conferment (Estimated)</td>
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<tr>
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<td>Month</td>
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<tr>
<td>Name of Chief Examiner (Signature)</td>
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<tr>
<td>Approved Date by Chief Examiner</td>
<td>Year</td>
</tr>
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<td>Date for Disclosure</td>
<td>(When Designated Date Necessary)</td>
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※The written content above shall not be utilized other than for intended purposes. Please note that all or a part of the registration/deregistration process may be outsourced.

(Check List for submitting documents)

☐ Doctoral Dissertation Withholding Request Form for Online Publication
☐ Doctoral Dissertation (Abstract)
☐ Document proving the reason for withholding (ex. Document of proof from a publisher)
博士学位論文インターネット公開留保承認書

年 月 日

早稲田大学教務部長 殿
早稲田大学図書館長 殿

国際情報通信研究科長（サイン）

博士学位取得申請者__________________より、裏面のとおり、「博士学位論文インターネット公開留保申請書」の提出を受け、当研究科で審議したところ、当該博士学位論文は学位規則第9条2項に規定する「やむを得ない事由」に相当すると判断いたしましたので、ご連絡申し上げます。

つきましては、当該博士論文の全文につき、インターネットでの公開は留保させていただけるようお願い致します。

なお、記載した理由が解消した場合には、公開可能となった旨速やかに報告します。

以上
May, 30th, 2013

To Doctoral Degree Recipients,

Dean of Academic Affairs, Waseda University
Director, Waseda University Library

Notice

Regulations Revised regarding Publication of Doctorial Dissertation
(Under the Bylaw Amendment by the Ministry of Education, Culture, Sports, Science and Technology, MEXT)

In accordance with the enforcement of the revised degree regulations by the MEXT on April 1st, 2013, publication of doctoral dissertations “on the internet” has become mandatory in principle, revised from publication “in print”.

Therefore, Waseda University will make revisions in the University Regulations as noted below. Please fully understand the revisions and comply with the following rules.

1: Summary of Revisions (Excerpts from the University Regulations)
(1) Publication of Dissertation Abstract
When Waseda University has conferred a Doctoral Degree on a person, the University shall publish an abstract of his/her dissertation and a summary on the result and conclusions of his/her thesis examination on the internet within three months of the date on which the degree was conferred. (Article 8)

(2) Publication of Doctoral Dissertation
1: A doctoral degree recipient must, within one year of the date on which the degree was conferred, publish his/her doctoral dissertation in full-text; which provision, however, shall not apply if the dissertation was published before the conferment of the degree. (Clause 1, Article 9)

2: A doctoral degree recipient may, in unavoidable circumstances, publish an abstract of his/her dissertation in lieu of the full text after obtaining a permission from the university which conferred the degree; in which case the university shall make the dissertation available in full-text for perusal upon request. (Clause 2, Article 9)

3: A doctoral degree recipient must publish his/her dissertation on the internet through the academic repository system of the university which conferred the degree. (Clause 3, Article 9)

2: Important Matters
(1) Alternative Measures
“unavoidable circumstances” under Clause 2, Article 9 in the revised regulations falls under the cases where the university which conferred the degree objectively judges the circumstance as unavoidable, including assumed cases noted below. Upon these cases, the university shall make the dissertation available in full-text for perusal upon request.

1: When a doctoral dissertation is unable to be published on the internet due to it dealing with such materials as visual images, three-dimensional contents or physical performances, or other reasons

2: When a doctoral dissertation is unable to be published on the internet for more than one year after the date on which the degree was conferred due to the protection of copyrights or personal information, or other reasons
3: When a doctoral degree recipient would, due to publishers of academic journals restricting publication in books or multiple publication of a dissertation, or the thesis being in the process of patent application, adversely be affected for more than one year after the date on which the degree was conferred.

Note that when “unavoidable circumstances” is no longer the case, a doctoral degree recipient must publish his/her dissertation in full-text on the internet through the academic repository system of the university which conferred the degree.

3. Enforcement
(1) The regulations shall come into force on April 1st, 2013
(2) Revised Article 8 shall apply to a person who was conferred a doctoral degree on or after April 1st, 2013 and to a person who received a doctoral degree before the date, provisions before the revision shall apply.
(3) Revised Article 9 shall apply to a person who was conferred a doctoral degree on or after April 1st, 2013 and to a person who received a doctoral degree before the date, provisions before the revision shall apply.